THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Zhi-Cheng Xiao

Serial No. : 10/537,757

Filing Date : June 6, 2005

Examiner : Not yet assigned

Group Art Unit : 1614

Entitled : "Materials and Methods Relating

to Treatment of Injury and Disease to the Central Nervous

System"

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Our File No. 0380-P03638US00

## Certificate of Mailing Under 37 C.F.R. §1.8(a):

I hereby certify that this correspondence is being deposited on <u>June 20, 2006</u> with the United States Postal Service as first-class mail in an envelope properly addressed to the Mail Stop: AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jane Bogan

Mail Stop: AMENDMENT Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## UNDER 37 C.F.R. §1.97

Dear Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicant is submitting herewith a Form PTO/SB/08A and PTO/SB08B listing certain documents which the Examiner is respectfully requested to consider and make of record

in this application. This Information Disclosure Statement is being filed more than three months after the filing date, but before receipt of the first Official Action on the merits. Thus, it is believed by the undersigned attorney that no fee is required under 37 C.F.R. §1.97(b).

Please note that this application is the U.S. national phase of International Patent Application No. PCT/GB2003/005329, filed December 5, 2003. An International Search Report was established by the European Patent Office for this international application and a copy is submitted herewith:

The above-identified international application entered the U.S. national phase after June 30, 2003. Therefore, copies of the U.S. patents and/or published U.S. applications listed on Form PTO-1449 are not included (see O.G. Notice, August 5, 2003).

This submission is not an admission that the references listed on the attached Form PTO-1449 constitute prior art against the claims of this application.

The Examiner is respectfully requested to confirm receipt and consideration of the cited references by initialing and returning a copy of the attached Form PTO-1449 in accordance with MPEP §609.

In the event that a fee is required, the Commissioner is authorized to charge Deposit Account No. 04-1406 of the undersigned attorneys. A duplicate copy of this sheet is enclosed.

Early and favorable consideration of this application is respectfully requested.

Respectfully submitted,
DANN, DORFMAN, HERRELL AND SKILLMAN
A Professional Corporation
Agent for Applicants

By:

Patrick J. Hagan

Registration No. 27,643

PJH:LCF

Enclosures - Form PTO/SB/08A and PTO/SB/08B

- Copy of International Search Report

- Copy of non-U.S. documents listed on Form

PTO/SB/08A and PTO/SB/08B

PTO/SB/08A (07-05)

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known				
Application Number	10/537,757	-		
Filing Date	June 6, 2005			
First Named Inventor	Zhi-Cheng Xiao			
Art Unit	1614			
Examiner Name	Not yet assigned			
Attorney Docket Number	0380-P03638US00			

			U. S. PATENT	DOCUMENTS		
Examiner Initials*			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A1	<sup>US-</sup> 6,465,210	10-15-2002	Peles		
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Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T
B1	WO 00/05364	02-03-2000	Smithkline Beecham PLC		
B2	WO 01/36631	05-25-2001	Smithkline Beecham PLC		
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	No. <sup>1</sup>	No. 1 Country Code 3 Number 4 Kind Code 5 (if known)  B1 WO 00/05364	No.1         Date MM-DD-YYYY           B1         WO 00/05364         02-03-2000	No. 1  Country Code 3 Number 4 Kind Code 5 (# known)  B1 WO 00/05364  Date MM-DD-YYYY  Applicant of Cited Document  02-03-2000 Smithkline Beecham PLC	No. <sup>1</sup> Date MM-DD-YYYY Applicant of Cited Document Where Relevant Passages Or Relevant Figures Appear  B1 WO 00/05364 02-03-2000 Smithkline Beecham PLC

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Include the communication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (07-05)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO		Complete if Known			
				Application Number	10/537,757
			CLOSURE	Filing Date	June 6, 2005
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Zhi-Cheng Xiao
(Use as many sheets as necessary)				Art Unit	1614
(coo as many sheets as necessary)			cocssu.y/	Examiner Name	Not yet assigned
Sheet	2	of	2	Attorney Docket Number	0380-P03638US00

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	BHAT, M.A., et al., "Axon-glia interactions and the domain organization of myelinated axons requires neurexin IV/Caspr/Paranodin," Neuron, 30: 369-383, (2001).	
	C2	HAUBEN, E., et al., "Vaccination with a Nogo-A-derived peptide after incomplete spinal-cord injury promotes recovery," PNAS USA, 98:15173-15178, (2001).	
	СЗ	WOOLF, C.J., "No Nogo: now where to go?," Neuron, 38:153-156, (2003).	
	C4	NIE, D-Y, et al., "Nogo-A at CNS paranodes is a ligand of Caspr: possible regulation of K+ channel localization," EMBO Journal, 22:5666-5678, (2003).	

Examiner	Date	-
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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